

NATIONAL RAILROAD ADJUSTMENT BOARD

THIRD DIVISION

Award Number 20254
Docket Number Cl-20123

Irving T. Bergman, Referee

(Brotherhood of Railway, Airline and Steamship
(Clerks, Freight Handlers, **Express** and
(station **Employees**

PARTIES TO DISPUTE: (

(George P. Baker, Richard C. Bond, and **Jervis**
(**Langdon, Jr.**, Trustees of the Property of
(**Penn** Central Transportation Company, Debtor

STATEMENT OF CUM: Claim of the System Committee of the Brotherhood
(CL-72531 that:

(a) The Carrier violated the Rules Agreement, effective February **1**, 1968, particularly Rule 6-A-1, when it assessed discipline of dismissal on L. M. Cooper, Clerk, West Breakwater Yard, Cleveland, Ohio, Lake Division, Western Region.

(b) Claimant L. M. Cooper's record be cleared of the **charges** brought against him on May **15**, 1972.

(c) Claimant L. M. Cooper be restored to service with seniority and all other rights unimpaired, and be compensated for wage loss sustained during the period out of service, plus interest at **6%** per **annum** compounded daily.

OPINION OF BOARD: This is a discipline case **in** which **claimant** was held out of service pending the investigation hearing and thereafter was dismissed from service. The **Organization** then raised the following objections: That claimant was held out of service for violation of Rule **G** which is not a rule contained in the **Clerks'** Agreement nor was this the offense with which he was charged for the purpose of hearing; that the notice for the hearing did not clearly specify the exact offense with which the claimant was charged; that the hearing was not conducted fairly and impartially; that the discipline was excessive.

A careful review of the transcript of the testimony (referred to as "**Tr.**") revealed the following relevant and material facts:

The notice of the hearing informed claimant that he was entitled to representation and to present witnesses to testify in his behalf. It charged **claimant** with, "Playing cards and gambling while on duty and having in your possession a can of beer and the odor of beer on your person approximately **3:50** A.M., May **13**, 1972, West Breakwater Yard Office, Cleveland, Ohio, while working Clerical assignment G-197." The hearing scheduled for May **19**, was postponed to May 26 ~~upon~~ the written request of claimant who also requested in writing that

four named **employees** be present as witnesses. The hearing was further postponed to **June 2** at the written request of the Division **Chairman**. On June 2, the requested witnesses for the **claimant** were present and also the Division **Chairman** was present to represent claimant. Claimant stated that he was ready to proceed, Tr. p.1-5.

As to the notice for the hearing, we find that the charge is precise and specific in stating the offenses alleged, the time when and the place where the alleged acts **occurred**. The request for witnesses indicates that claimant was fully informed. The postponements provided adequate **time** to be prepared **and** to be represented. **Claimant** was ready to proceed. That the notice was adequate is supported by **numerous** Awards of this **Division** as expressed in Awards 18606 and 18872.

Further **reading** of the transcript disclosed the following material and relevant testimony:

Claimant testified that he removed himself from the property when instructed to do so, Tr.p.7. He testified that at approximately **3:50 A.M.**, he came **downstairs**, sat at a bench by the table, the only available seat in the **room**, was **conversing with trainmen and engine-men present**. One of the brakemen was **going** to get food **and claimant** took out some money to give him to bring back food. **Claimant denied** that he played **cards**, gambled, possessed a can of beer or that the odor of beer was **on** his person. Claimant testified that there **were** cards in the middle of the desk where he was sitting but none in front of him; that he did **not** observe any **beer** on the table **and** to his **knowledge** there **was** no **money on** the table but **that there was** money in his hand to purchase food, Tr.p.8,9.

The **Terminal** Superintendent **testified** that he entered the room **at approximately 3:50 A.M.**, saw four men seated at the table, cards and money on the table in front of each man and money in the **center** of the table. When entering the room he **observed claimant with** a green can in his hand which he placed under the desk. The Superintendent picked up the can and found it to be a can of Rolling Rock beer. He ascertained that claimant was on duty; asked him if he had been drinking. **When** claimant said, "no", the Superintendent asked claimant to blow his breath in face of Superintendent **who** detected

odor of beer on claimant's breath. There were also **two** decks of cards on the side of the desk. The money in front of claimant was change and dollars. It appeared to be a large **amount** of money, more than a couple of dollars, nicely stacked. The green can was half full. There **was** an empty can of the same brand of beer under the table, a half full can on the bench on the opposite side of the table and an empty can in the window. The Superintendent did not see claimant or any other **employee** drinking and none of the **employees** other than claimant had a can in his possession. The Superintendent checked the breath of the three other **employees** seated at the table but did not detect the odor of beer on their breath. He also testified that the half full can of beer he saw claimant holding was cold. The money was still on the table when the Superintendent left the room. When the Superintendent entered the room, he was accompanied by the Trainmaster who was behind him, **Tr.p.9-11**. In the Superintendents opinion, claimant was not intoxicated but was gambling, although he did not see money change hands, cards being handled, or bets being made, **Tr. p.13**. **Rule 6-A-1** was read into the record as follows: "**An employee** who has been in the service more than 60 days--shall not be disciplined or dismissed without a fair and **impartial** investigation. He may, however, be held out of service pending such investigation only if his retention in service could be detrimental to himself, another person, or the company."

A trainman called as witness for the claimant testified that he was in the room at approximately **3:50 A.M.** when he saw the Superintendent enter with the Trainmaster. He did not observe claimant playing cards or gambling, was seated next to claimant and talked **with** him but did not detect odor of beer on claimant's breath. He testified that the Superintendent was the only man in possession of can of beer when he picked up the can of Rolling Rock from under the table. No money was in center of table but money was present, being given to him to buy food. It was conceded for the record at this time thst claimant had worked for Carrier approximately seven years and his record was clear. There were cards on the table in the Left corner, **Tr.p.25-30**.

The Trainmaster testified that he entered the room at approximately **3:50 A.M.** behind the Superintendent. He observed four men sitting at the table with cards and money **in** front of them and money in the center of the table. There was money in front of claimant in a neat stack definitely more than one or **two** dollars; there was a hand of cards face up in front of claimant a little to the right of the money and a deck of cards face **down** to the right of claimant. He did not see a can in claimant's hand when he entered behind the Superintendent but claimant's right hand was out of sight, "in a motion like he was reaching to the floor." The witness saw the Superintendent pick up a can of beer from the floor where claimant's right hand had been. The can

was open and partly **consumed**. The Superintendent also picked up an empty can. The partly empty can was cold. The brand name of the beer, **on** the can, was **Rolling Rock**. There was also a partially **consumed** can sitting on the bench across the table from claimant and one empty **can on the window** sill. When the witness smelled claimant's breath a little Later, he detected the odor of beer. The **Trainmaster** gave *claimant* the suspension notice at **4:15 A.M.** in accordance with Carrier's instructions, "**to remove from service employees found with possession or use of alcoholic beverages, pending investigation.**" He observed the Superintendent **smell** the breath of each of the four men sitting at the table including claimant. *This* witness prepared the notice. The **money** was still on the table when he Left the building, **Tr.p.30-33**. The trainmaster did not think that **claimant** was intoxicated. Claimant was removed from **service** because he had, "the odor of beer on his parson, smelled on his breath." The witness testified that he smelled the half empty can of Rolling Rock found on the floor next to claimant and it was beer, **Tr.p.37-39**.

At the completion of the **trainmaster's** testimony the hearing had been in session for eight hours. At **6:30 P.M.** **all** present consented to recess the hearing until June 5, at **9:30 A.M.**

An engineer testified **for claimant** that he was in the room at **3:50 A.M.** when the **two** Carrier officials entered. He did not see claimant with playing cards in his hands, with a can of beer in his hand, **gambling**, drinking beer and did not smell the odor of beer on **claimants** breath. He testified further that he saw the Superintendent retrieve two cans of Rolling Rock Beer from under the table; that there were cards on the table but not in front of the seated men; that there was **some money** on the table, one man had money in front of **him** and another had money in his hand. This witness testified that there were men seated at the table including claimant, **Tr.41-43**.

The next witness for claimant was a conductor who testified that he **was** in the room at **3:50 A.M.** **when** the two Carrier officials entered but that he left the room 10 minutes Later. He testified that he did not see claimant playing cards, having a can of beer on his person, **gambling** or smell the odor of beer on claimants breath. He further testified that he saw four men seated at the table and saw cards on corner of desk that had been there since "we" went to work. This witness testified also that he saw money on the desk, "everybody had money out", different guys were ordering sandwiches and change was being made. He saw the Superintendent retrieve one can of beer from under the table but didn't **know** exactly **where** he got it and didn't **know** that it was beer, **Tr.p.43-45**.

The next witness for claimant was a trainman who was in the room at **approximately 3:50 A.M.** but was Leaving when he met the **two**

Carrier officials in the hallway. He returned to the room. He testified that **while** he was in the **room** there were some cards laying on the table and did not see any money at all. He wasn't sure how many men were seated at the table. He didn't see any beer and saw the Superintendent pull some empty cans out of a desk drawer not from under the table. These **cans** were folded in half. He did not see Claimant drink beer, play cards, gamble, hold a can of beer, and stated that claimant was not intoxicated, Tr.p.45,46.

The final witness for claimant was an **engineman** who testified that he was in the **room** prior to **3:50** A.M. but not while the Carrier officials were in the room. While in the room prior to **3:50** A.M., claimant was present. The witness did not see claimant play cards, **gamble**, with a can of beer in his hand, and did not smell beer on claimant, Tr.p.47.

Claimant was recalled and testified that he saw the Superintendent retrieve, "two cans, green in color, **from** underneath the desk of which I was seated. As to what the contents of the cans were I do not know." He also testified that the Superintendent smelled his breath and **15** to 20 minutes later the Trainmaster smelled his breath, Tr.p.48.

At the conclusion of the hearing, claimant stated that the hearing was not conducted in a fair and impartial **manner** because he was not **guilty** and that made the hearing unfair. He also stated that he had answered all questions in his own words, Tr.p.50.

The transcript also contains argument made by **claimant's** representative at many points throughout the hearing. What came through Loud and **clear** from the argument is that the other **employees** at the table went through investigation hearings at which they were not charged with drinking beer, were disciplined but not dismissed. Claimant's representative insisted that this was discrimination. The Terminal Superintendent answered by testifying that others were not charged with drinking or possessing beer because he did not see **them** holding a beer can and did not **smell** the odor of beer on their breath.

Careful examination of the entire transcript makes it clear that the hearing was conducted in a fair and impartial manner. Every opportunity was afforded claimant to tell his story, present witnesses **who** answered questions and volunteered answers freely and in their **own** words. Claimant's representative had wide Latitude in making statements, offering opinions, arguing the effect of evidence while witnesses were testifying, and in questioning all the witnesses. It was not a denial of due process when the hearing officer would not **allow** in this hearing the transcript of hearings regarding the other **employees** who were involved. Those men were present and subject to questioning by claimant's representative. No prejudice resulted to

claimant because his representative's statements regarding the other hearings were permitted to stand in the record. It also was not denial of due process when the hearing officer objected to questioning by claimant's representative when the questions **became repetitious or argumentative**.

We agree with the contention that claimant should not have been held out of service. It is our opinion that **Carrier's instructions** that **employees** observed to be in possession or use of alcoholic beverage be **immediately** held out of service is not applicable to this specific situation. However, this would not give the claimant a License to possess or to drink alcoholic beverage at any time or place related to his work. As a general rule, claimant could have been held out of service if he was judged to be unfit for his work. **Under Rule 6-A-1A**, claimant could have been held out of service if it was **demonstrated** that his retention in service could be detrimental to himself, another person, or the Company. There was no testimony or evidence to support the conclusion that detriment would result. On the contrary the testimony indicates that a judgment decision was not made, only that Carrier's **instruction** was carried out. Rule **6-A-1A** suggests that evidence should be presented upon which a judgment may be made. Holding a can of beer and odor of beer on the claimant's breath but no evidence of intoxication does not tell us enough. What was there that indicated to the Carrier's officials that it would be detrimental for the claimant to return to his work? The record does **not** say anything on this point.

We **observed** from the testimony that there is conflict between the testimony of the Carrier's witnesses and the testimony of claimant and his witnesses. In fact, there was conflict in the **testimony** of the claimant's witnesses on **several** issues.

Many prior Awards have covered this subject. Award 13356 stated that credibility of **witnesses** and weight to be given their testimony is for the hearing officer. Also more **witnesses** on one side than on the **other** is not, by itself, sufficient to require this Division to disturb the **finding** unless we judge the finding to be arbitrary and capricious. **This** policy is stated in Award 16265. Award 16354 in following this policy, also stated that the Board acts **as** an Appellate forum and does not weigh the evidence or judge the credibility of **witnesses**. In addition, it was stated that if the evidence is sufficiently substantial, it **cannot** be said that the Carrier was arbitrary, capricious or acting in bad faith. A **more** recent Award 19747 repeated that we cannot resolve credibility issues. It added that, once the **testimony** of Carrier's witnesses, "is credited and claimant's is not, the weight of the evidence clearly supports that Carrier's conclusion of the guilt of the Claimant." Award 19928 repeated the same opinion with reference to conflicts in testimony.

Prior Awards considered the sufficiency of the evidence. These Awards, however, also follow the Board's policy with regard ~~to conflicting~~ testimony. We have reviewed Awards 13613, 19522, 19744 on this point.

Following the well established policy, we have determined that the decision of the Carrier was based on evidence sufficient to overcome the possibility that the decision was arbitrary, capricious or made in bad faith.

We are concerned, **however**, with the degree of the discipline in view of the **employee's** clear record during seven years of employment and the nature of his work. In this regard we are persuaded by the reasoning found in Award 8431. On page 2 of that Award: "**(3)** A Carrier's disciplinary decision is unreasonable, arbitrary, capricious or discriminatory when (a) the Carrier's rule or rules violated were not reasonably related to the orderly and efficient operation of Carrier's business;--." Also, "(7) In judging whether the Carrier sustained its burden the Board will not try to reconcile or choose between contradictory, conflicting testimony of opposing witnesses at the hearing. It is sufficient if the Carrier's decision was based on substantial evidence of record." On page 3 of the Award: "**(8)** If for any of the proper reasons stated above under (3) the Carrier's disciplinary action is deemed not supportable but if at the time the record of the case shows that in the circumstances directly leading up to the Carrier's action the **employee** himself was not free of improper behavior, the **employee** may be required to suffer some penalty such as no pay for time lost, upon reinstatement." It is within the Boards province to **review** the degree of discipline imposed, Awards 19561, 19797, 20092. In the exercise of our discretion, we find that the dismissal under the circumstances of this case was unreasonable **and** arbitrary and direct the reinstatement of the claimant with no back pay. However, claimant shall be entitled to pay lost from the time he was held out of service up to the date of the letter of dismissal **i.e.** June 15, 1972, Claimant shall also retain his seniority earned to June 15, 1972 and retain all rights flowing therefrom.

No provision in the Agreement entitles claimant to interest. The Organization's argument that the National Labor Relations Board has been granting interest on back pay awards is not applicable. The Courts have held that the **NLRB** has authority to grant interest by reason of language in the statute which states that the Board **may** fashion a suitable remedy to overcome the effect of an unfair labor practice. The Railway Labor Act does not contain such a provision. The great majority of Awards of this Board have held that we do not have discretion ~~to~~award interest. We are bound by the Agreement between the parties.

FINDINGS: The Third Division of the Adjustment Board, upon the whole record and all the evidence, finds and holds:

That the parties waived oral hearing;

That the Carrier and the **Employes** involved in this dispute are respectively Carrier and **Employes** within the meaning of the Railway Labor Act, as approved June 21, 1934;

That this Division of the Adjustment Board has jurisdiction over the dispute involved herein; and

The Carrier violated the Rules Agreement when it held the claimant out of **service** pending investigation.

The decision after hearing is sustained.

The discipline assessed **was** unreasonable and arbitrary under the circumstances of this case.

A W A R D

Claim disposed of as set forth above.

NATIONAL RAILROAD ADJUSTMENT BOARD
By Order of Third Division

ATTEST:


Executive Secretary

Dated at Chicago, Illinois, this 31st day of **May** 1974.